NEW ERA ALKALOIDS & EXPORT LIMITED

REGD. OFFICE- 21, FREE GANJ, RATLAM (M.P.) 457001 CORP. OFFICE: 501, WALLFORT OZONE, FAFADIH, RAIPUR (C. G.) 492001 CIN-L02423MP1994PLC008116

16th October, 2017

- Head- Listing & Compliance
 Metropolitan Stock Exchange of India
 Ltd. (MSEI)
 Vibgyor Towers, 4th floor,
 Plot No C 62, G Block,
 Opp. Trident Hotel,
 Bandra Kurla Complex,
 Bandra (E), Mumbai 400 098
- The Head Listing & Compliances, Calcutta Stock Exchange Limited, 7, Lyons Range, Kolkata, (W. B.) - 700001

Dear Sir/Madam,

SUBMISSION OF CERTIFICATE FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2017

We are submitting herewith the Certificate under regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the half year ended on 30th September, 2017.

Kindly take the submission on records in terms of the above mentioned regulation and acknowledge the same.

Yours faithfully,

For, New Era Alkalpids & Exports Limited,

(Monika Jaka)

Company Secretary & Compliance Officer

Encl: As stated above.

09093120091 0771-Off: 4038838 E-mail: satishbatra40@yahoo.co.in

SATISH BATRA & ASSOCIATES

COMPANY SECRETARIES

C-501, Ashoka Ratan, Khamardih Road, Shankar Nagar, Raipur (C. G.) 492007

TO WHOM IT MAY CONCERN

CERTIFICATE UNDER REGULATION 40(9) THE SEBI (LISTING OBLIGATIONS AND

DISCLOSURE REQUIREMENTS) REGULATION, 2015

FOR THE HALF YEAR ENDED ON 30th SEPTEMBER, 2017

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to New Era Alkaloids And Exports Limited, the Company, maintained by Beetal Financial & Computer Services P. Ltd, pertaining to Transfer of Equity shares of the Company for the period from 1st April, 2017 to 30th September, 2017 for the purpose of issuing a certificate as per regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on 31st March, 2017.

A. Share Certificate(s) relating to the transfer of Shares/Debentures received during the period from 1st April, 2017 to 30th September, 2017 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B. Any other information: Nil

For Satish Batra & Associates, Company Secretaries

> [S.K.BATRA] FCS 1316, CP 2875

Place: Raipur

Date: 14th October, 2017