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## SATISH BATRA & ASSOCIATES COMPANY SECRETARIES

C-501, Ashoka Ratan, Khamardih Road, Shankar Nagar, Raipur (C. G.) 492007

## TO WHOM IT MAY CONCERN CERTIFICATE UNDER REGULATION 40(9) THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION, 2015 FOR THE HALF YEAR ENDED ON 31st March, 2017

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to New Era Alkaloids And Exports Limited, the Company, maintained by Beetal Financial & Computer Services P. Ltd, pertaining to Transfer of Equity shares of the Company for the period from 1<sup>st</sup> October, 2016 to 31<sup>st</sup> March, 2017 for the purpose of issuing a certificate as per regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on 31<sup>st</sup> March, 2017.

- A. Share Certificate(s) relating to the transfer of Shares/Debentures received during the period from 1<sup>st</sup> October, 2016 to 31<sup>st</sup> March, 2017 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B. Any other information: Nil

For Satish Batra & Associates, Company Secretaries

> [S.K.BATRA] FCS 1316, CP 2875

Place: Raipur

Date: 3rd April, 2017