

NEW ERA ALKALOIDS & EXPORT LIMITED

REGD. OFFICE- 21, FREE GANJ, RATLAM (M.P.) 457001
CORP. OFFICE: 501, WALLFORT, FAFADIH, RAIPUR (C. G.) 492001
CIN-L02423MP1994PLC008116

7th January, 2017

E-MAIL & SPEED POST

1. Head- Listing & Compliance Metropolitan Stock Exchange of India Ltd. (MSEI) Vibgyor Towers, 4th floor, Plot No C 62, G - Block, Opp. Trident Hotel, Bandra Kurla Complex, Bandra (E), Mumbai - 400 098	2. The Head - Listing & Compliances, Calcutta Stock Exchange Limited, 7, Lyons Range, Kolkata, (W. B.) - 700001
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Dear Sir/Madam,

SUBMISSION OF REPORT ON CORPORATE GOVERNANCE FOR THE QUARTER ENDED ON 31ST DECEMBER, 2016

We are submitting herewith the Report on Corporate Governance under Regulation 27 (2) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the quarter ended on 31st December, 2016.

Kindly take the report on record and disseminate the information on the website of the Exchange for the information of all the members and stakeholders.

Yours faithfully,
For, New Era Alkaloids & Exports Limited,


(Ravi Kamra)
Director
DIN: 00745058

Enclosure: As stated above



NEW ERA ALKALOIDS & EXPORTS LIMITED

REGD. OFFICE- 21, FREE GANJ, RATLAM (M.P.) 457001

Telephone No.0771 234538

CIN-L02423MP1994PLC008116

www.neweraltd.com

Email: neael@rediffmail.com

Compliance Report on Corporate Governance

1 Name of Listed Entity: New Era Alkaloids & Exports Limited
2 Quarter ending: 31st December, 2016

I. Composition of Board of Directors										
Title (Mr./Ms)	Name of the Director	PAN*	DIN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)*	Date of Appointment in the current term	Date of Ceassation	Tenure*	No of Directorship in listed entities including this listed entity (Refer Regulation 25 (1) of Listing regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26 (1) of Listing Regulations)	No. of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	RAVINDRA POKHARANA	ADHPP3565G	01121333	Executive	04/01/2015		N/A	2	0	1
Mr.	RAVI KAMRA	AEVPK0805N	00745058	Executive	30/09/2016		N/A	3	5	2
Mr.	GHANSHYAM SONI	DPYPS8491R	07570887	Independent/ non-Executive	30/09/2016		5 YEARS	3	1	1
Mr.	TULSI RAM SAHU	BHOPSO901G	01395347	Independent/ non-Executive	28/09/2015		5 YEARS	1	1	0
Ms.	SATYAWATI PARASHAR	ALCPP4985E	00761009	Independent/ non-Executive	28/09/2015		5 YEARS	4	2	1

* PAN number of any director would not be displayed on the website of Stock exchange

* Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen
* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

II. Composition of Committees		
Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)*



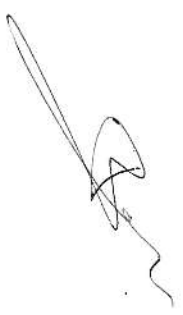
1	Audit committee	Mr. Tulsi Ram Sahu	Independent
		Mr. Ravi Kamra	Executive
		Mrs. Satyawati Parashar	Independent
2	Nomination & Remuneration Committee	Mr. Ravindra Pokharana	Executive
		Mr. Tulsi Ram Sahu	Independent
		Mrs. Satyawati Parashar	Independent
3	Risk management Committee (if applicable)	Mr. Ravi Kamra	Executive
		Mr. Ravindra Pokharana	Executive
4	Stakeholders Relationship committee*	Mr. Tulsi Ram Sahu	Independent
		Mrs. Satyawati Parashar	Independent

*Category of directors means executive/non-executive/Independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

III. Meeting of Board of Directors			
Date(s) of Meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)	
28/07/2016	-	-	
13/08/2016	-	15	
01/09/2016		18	
	28/10/2016	54	
	14/11/2016	16	

IV. Meeting of Committees			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
14/11/2016	YES	13/08/2016	92

* This information has to be mandatorily be given for audit committees, for rest of the committee giving this information is optional



V. Related Party Transactions		Subject	Compliance status (Yes/No/NA) <small>Refer note below</small>
Whether prior approval of audit committee obtained			Yes
Whether shareholder approval obtained for material RPT			NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee			NA
1	Note In the column "Compliance status", compliance or non-compliance may be indicated by Yes/No/NA.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the listed entity has no related party transactions, the words "N.A." may be indicated.		NA
2	If status is "No" details of no-compliance may be given here.		
VI. Affirmations			
1	The composition of Board of Directors in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015		
2	The composition of the following committees is in terms of SEBI (listing obligations and disclosure requirements) Regulations, 2015		
	a) Audit Committee		
	b) Nomination & remuneration committee		
	c) Stakeholders relationship committee		
	d) Risk management committee (applicable to the top 100 listed entities)		
3	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.		
4	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.		
5	This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of directors may be mentioned here: NIL		
Note: Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.			

Note: Regulation 17 to 27 of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 is not applicable to the Company as the Company is neither having paid up share capital of or exceeding Rs.10.00 crore and a net worth of or exceeding Rs.25.00 crores
Compliance is made at the discretion for good Corporate Governance.

