

# NEW ERA ALKALOIDS & EXPORT LIMITED

REGD. OFFICE- 21, FREE GANJ, RATLAM (M.P.) 457001  
CORP. OFFICE: 501, WALLFORT, FAFADIH, RAIPUR (C. G.) 492001  
CIN-L02423MP1994PLC008116

4<sup>th</sup> April, 2017

1. Head- Listing & Compliance Metropolitan Stock Exchange of India Ltd. (MSEI) Vibgyor Towers, 4th floor, Plot No C 62, G - Block, Opp. Trident Hotel, Bandra Kurla Complex, Bandra (E), Mumbai - 400 098	2. The Head - Listing & Compliances, Calcutta Stock Exchange Limited, 7, Lyons Range, Kolkata, (W. B.) - 700001
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Dear Sir/Madam,

**SUBMISSION OF REPORT ON CORPORATE GOVERNANCE FOR THE QUARTER**  
**ENDED ON 31<sup>ST</sup> MARCH, 2017**

We are submitting herewith the Report on Corporate Governance under Regulation 27 (2) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the quarter ended on 31<sup>st</sup> March, 2017.

Please upload the same on your official portal.

Yours faithfully,  
For, New Era Alkaloids & Exports Limited,

  
(Sweta Priya)  
Company Secretary & Compliance Officer

Encl: As stated above.

## NEW ERA ALKALOIDS & EXPORTS LIMITED

REGD. OFFICE- 21, FREE GANJ, RATLAM (M.P.) 457001

Telephone No.0771 234538

CIN-L02423MP1994PLC008116

Email: [neael@rediffmail.com](mailto:neael@rediffmail.com)

[www.neweraltd.com](http://www.neweraltd.com)

**Compliance Report on Corporate Governance**

1 Name of Listed Entity: New Era Alkaloids & Exports Limited  
2 Quarter ending: 31st MARCH, 2017

I. Composition of Board of Directors (SAME AS PREVIOUS QUARTER)										
Title (Mr./Ms)	Name of the Director	PAN*	DIN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)*	Date of Appointment in the current term	Date of Ceassation	Tenure*	No of Directorship in listed entities including this listed entity (Refer Regulation 25 (1) of Listing regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26 (1) of Listing Regulations)	No. of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	RAVINDRA POKKHARANA	ADHPP3565G	01121333	Executive	1/4/2015		N/A	2	0	1
Mr.	RAVI KAMRA	AEV/PK0805N	00745058	Executive	9/30/2016		N/A	3	5	2
Mr.	GHANSHYAM SONI	DPYPS8491R	07570887	Independent/ non-Executive	9/30/2016		5 YEARS	3	1	1
Mr.	TULSI RAM SAHU	BHOPSS0901G	01395347	Independent/ non-Executive	9/28/2015		5 YEARS	1	1	0
Mrs.	SATTYAWATI PARASHAR	ALCPP4985E	00761009	Independent/ non-Executive	9/28/2015		5 YEARS	4	2	1

\* PAN number of any director would not be displayed on the website of Stock exchange  
\* Category of directors means executive/non-executive/Independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen  
\* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

II. Composition of Committees		
Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)*



1	Audit committee	Mr. Tulsiram Sahu	Independent			
		Mr. Ravi Kamra	Executive			
2	Nomination & Remuneration Committee	Mrs. Satyawati Parashar	Independent			
		Mr. Ravindra Pokharana	Executive			
		Mr. Tulusi Ram Sahu	Independent			
3	Risk management Committee (if applicable)	Mrs. Satyawati Parashar	Independent			
4	Stakeholders Relationship committee*	Mr. Ravi Kamra	Executive	N/A		
		Mr. Ravindra Pokharana	Executive			
		Mr. Tulusi Ram Sahu	Independent			
		Mrs. Satyawati Parashar	Independent			
*Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen						
<b>III. Meeting of Board of Directors</b>						
	Date(s) of Meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)			
	11/14/2016	-	-			
		13/02/2017	90			
<b>IV. Meeting of Committees</b>						
	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*		
	13/02/2017	YES				
* This information has to be mandatorily be given for audit committees, for rest of the committee giving this information is optional						
<b>V. Related Party Transactions</b>						
	Whether prior approval of audit committee obtained	Subject			Compliance status (Yes/No/NA) <sup>refer note below</sup>	
	Whether shareholder approval obtained for material RPT				Yes	
	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee				NA	
					NA	



1	<b>Note</b> In the column "Compliance status", compliance or non-compliance may be indicated by Yes/No/NA..For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the listed entity has no related party transactions, the words "N.A." may be indicated.
2	if status is "No" details of no-compliance may be given here.
VI.	<b>Affirmations</b>
1	The composition of Board of Directors in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 - <b>YES</b>
2	The composition of the following committees is in terms of SEBI (listing obligations and disclosure requirements) Regulations,2015 - <b>YES</b>
	a) Audit Committee
	b) Nomination & remuneration committee
	c) Stakeholders relationship committee
	d) Risk management committee (applicable to the top 100 listed entities) - <b>NA</b>
3	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015 - <b>YES</b>
4	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015 - <b>YES</b>
5	This report and/or the report submitted in the previous quarter has been placed before Board of Directors. <b>YES</b>
	Any comments/observations/advice of Board of directors may be mentioned here: <b>NIL</b>
<b>Note:</b>	Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.
<b>Note:</b>	Regulation 17 to 27 of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 is not applicable to the Company as the Company is neither having paid up share capital of or exceeding Rs.10.00 crore and a net worth of or exceeding Rs.25.00 crores Compliance is made at the discretion for good Corporate Governance.

