

# New Era Alkaloids & Exports Limited

Registered Office: 408, Wallfort Ozone, Fafadih, Raipur (Chhattisgarh) 492001

[CIN NO: L24100CT1994PLC008842]

18<sup>th</sup> April, 2022

Head- Listing & Compliance  
Metropolitan Stock Exchange of India Ltd. (MSEI)  
Vibgyor Towers, 4th floor,  
Plot No C 62, G - Block,  
Opp. Trident Hotel,  
Bandra Kurla Complex,  
Bandra (E), Mumbai - 400 098

Dear Sir/Madam,

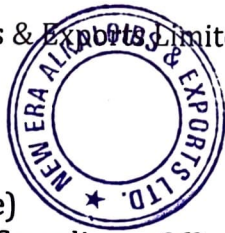
**SUBMISSION OF CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATION & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED ON 31<sup>ST</sup> MARCH, 2022.**

Pursuant to Regulation 40 (10) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015, we are submitting herewith certificate issued by the Practicing Company Secretary under the Regulation 40 (9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015, for the year ended on 31<sup>ST</sup> March, 2022.

Kindly take the submission on records in compliance of the above mentioned regulations and acknowledge the same.

Yours faithfully,  
For, New Era Alkaloids & Exports Limited,

  
(Vaibhav V. Mohdiwale)  
Company Secretary & Compliance Officer  
M. No.: A66449



Enclosure: As stated above

**CERTIFICATE UNDER REGULATION 40(9) OF  
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2022**

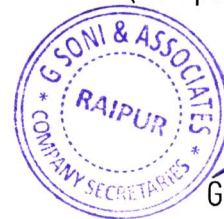
On the basis of examination of all relevant Books, register, forms, documents and papers relating to shares of **M/s New Era Alkaloids And Exports Ltd:** (CIN: L24100CT1994PLC008842) ('the Company') having its registered office at 408, Wallfort Ozone, Fafadih, Raipur Chhattisgarh 492001 maintained and provided electronically by M/s Beetal Financial and Computer Services Private Limited (CIN: U67120DL1993PTC052486) Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended March 31<sup>st</sup>, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:


- A. The Company has not received any share certificates relating to transfer of shares during the period from 01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022;
- B. The Company has not received any share certificates relating to sub-division, consolidation, renewal, splitting of Share Certificates, exchange or endorsement of calls/allotment monies during the period from 01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022.

Date: April 15<sup>th</sup>, 2022

Place: Raipur

For, **G SONI & ASSOCIATES**  
(Company Secretaries)



  
Ghanshyam Soni  
(Proprietor)  
M. No. ACS 37098  
C. P. No. 17876

UDIN: A037098D000123382