

# NEW ERA ALKALOIDS & EXPORT LIMITED

REGD. OFFICE- 21, FREE GANJ, RATLAM (M.P.) 457001  
CORP. OFFICE: 501, WALLFORT OZONE, FAFADIH, RAIPUR (C. G.) 492001  
CIN-L02423MP1994PLC008116

7<sup>th</sup> July, 2017

|  |  |
|--|--|
| 1. Head- Listing & Compliance<br>Metropolitan Stock Exchange of India<br>Ltd. (MSEI)<br>Vibgyor Towers, 4th floor,<br>Plot No C 62, G - Block,<br>Opp. Trident Hotel,<br>Bandra Kurla Complex,<br>Bandra (E), Mumbai - 400 098 | 2. The Head - Listing & Compliances,<br>Calcutta Stock Exchange Limited,<br>7, Lyons Range,<br>Kolkata, (W. B.) - 700001 |
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Dear Sir/Madam,

**SUBMISSION OF SHAREHOLDING PATTERN OF SPECIFIED SECURITIES  
FOR THE QUARTER ENDED ON 30<sup>th</sup> JUNE, 2017**

We are submitting herewith Shareholding Pattern of specified securities under Regulation 31(1) (b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended on 30<sup>th</sup> June, 2017 through Speed post and email.

Kindly take the submission on records and upload the same on your official portal.

Yours faithfully,  
For, New Era Alkaloids & Exports Limited,

  
(Sweta Priya)  
Company Secretary & Compliance Officer

Encl: As stated above.

## Securities and Exchange Board of India

Annexure - I

### Shareholding of specified securities for the Quarter ended 31st December, 2016

1 Name of Listed Entity: **NEW ERA ALKALOIDS & EXPORTS LIMITED**

2 Scrip Code/Name of Scrip/Class of Security: **530303 / Equity Shares**

3 Share Holding Pattern Filed under: **Reg.31(1)(b)**

a. If under 31(1)(b) then indicate the report for Quarter ending: **30th June, 2017**

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information

| Particulars  | Yes* | No* |
|--|------|-----|
| 1 Whether the Listed Entity has issued any partly paid up shares?                        | -    | No  |
| 2 Whether the Listed Entity has issued any Convertible Securities or Warrants?           | -    | No  |
| 3 Whether the Listed Entity has any shares against which depository receipts are issued? | -    | No  |
| 4 Whether the Listed Entity has any shares in locked - in?                               | -    | No  |
| 5 Whether any shares held by promoters are pledge or otherwise encumbered?               | -    | No  |

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked - in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows :-



NEW ERA ALKALOIDS & EXPORTS LIMITED  
SHAREHOLDING PATTERN AS ON 30/06/2017  
Table - I Summary Statement holding of specified securities

| Category (I) | Category of Shareholder (II)   | No of Shareholders (III) | No. of fully paid Equity Share Held (IV) | No. Of Partly Paid Equity Shares Held (V) | No. Of shares underlying Depository Receipts (VI) | Total Nos. Shares Held (VII) = IV+V+VI | Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2) | No of Voting Rights held in each class of securities (IX) |         |         | No of shares underlying outstanding convertible securities (including warrants) (X) | Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2) | No. of Locked in Shares (XII) |         | No of Shares pledged or otherwise encumbered (XIII) |         | No of Equity Shares Held in Dematerialized Form (XIV) |                                 |
|--------------|--------------------------------|--------------------------|--|---|---|--|---|---|---------|---------|---|--|-------------------------------|---------|---|---------|---|---------------------------------|
|              |                                |                          |  |   |   |  |   | Class X   | Class Y | Total   |   |  | Total as a % of (A+B+C)       | No. (a) | As a % of total shares held (b)                     | No. (a) |   | As a % of total shares held (b) |
| (A)          | Promoter & Promoter Group      | 9                        | 122700                                   | 0   | 0   | 122700                                 | 3.7358  | 122700  | 0       | 122700  | 3.7358  | 0  | 0.0000                        | 0       | 0.0000  | 0       | 0.0000  | 0                               |
| (B)          | Public                         | 4566                     | 3161700                                  | 0   | 0   | 3161700                                | 96.2642   | 3161700   | 0       | 3161700 | 96.2642   | 0  | 0.0000                        | 0       | 0.0000  | 0       | 0.0000  | 10100                           |
| (C)          | Non Promoter - Non Public      | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0       | 0.0000  | 0  | 0.0000                        | 0       | 0.0000  | 0       | 0.0000  | 0                               |
| (C1)         | Shares Underlying DRs          | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0       | 0.0000  | 0  | 0.0000                        | 0       | 0.0000  | 0       | 0.0000  | 0                               |
| (C2)         | Share Held By Employees Trusts | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0       | 0.0000  | 0  | 0.0000                        | 0       | 0.0000  | 0       | 0.0000  | 0                               |
|              | Total                          | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0       | 0.0000  | 0  | 0.0000                        | 0       | 0.0000  | 0       | 0.0000  | 0                               |
|              | Total A+B+C1+C2                | 4575                     | 3284400                                  | 0   | 0   | 3284400                                | 100.0000  | 3284400   | 0       | 3284400 | 100.0000  | 0  | 0.0000                        | 0       | 0.0000  | 0       | 0.0000  | 10100                           |

