

## Securities and Exchange Board of India

Annexure - I

### Shareholding of specified securities for the Quarter ended 31st December, 2016

1 Name of Listed Entity:

**NEW ERA ALKALOIDS & EXPORTS LIMITED**

2 Scrip Code/Name of Scrip/Class of Security: **530303 / Equity Shares**

3 Share Holding Pattern Filed under: **Reg.31(1)(b)**

- a. If under 31(1)(b) then indicate the report for Quarter ending: **31st December, 2016**
- b. If under 31(1)(c) then indicate date of allotment/extinguishment

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4 Whether the Listed Entity has any shares in locked - in?	-	No
5 Whether any shares held by promoters are pledged or otherwise encumbered?	-	No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked - in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows :-



NEW ERA ALKALOID & EXPORTS LIMITED  
SHAREHOLDING PATTERN AS ON 31/12/2016

Table - I Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)			No of shares underlying convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
								Class X	Class Y	Total			Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)	
(A)	Promoter & Promoter Group	9	122700	0	0	122700	3.7358	122700	0	3.7358	0	0.0000	0	0.0000	0	0.0000	0
(B)	Public	4566	3161700	0	0	3161700	96.2642	3161700	0	96.2642	0	0.0000	0	0.0000	0	0.0000	2500
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C2)	Share Held By Employees Trusts	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total A+B+C1+C2	4575	3284400	0	0	3284400	100.0000	3284400	0	100.0000	0	100.0000	0	0.0000	0	0.0000	2500

