

SATISH BATRA & ASSOCIATES
COMPANY SECRETARIES

C-501, Ashoka Ratan, Khamardih Road,
Shankar Nagar, Raipur (C. G.) 492007

TO WHOM IT MAY CONCERN
CERTIFICATE UNDER REGULATION 40(9) THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATION, 2015
FOR THE HALF YEAR ENDED ON 30th SEPTEMBER, 2016

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **New Era Alkaloids And Exports Limited**, the Company, maintained by **Beetal Financial & Computer Services P. Ltd**, pertaining to Transfer of Equity shares of the Company for the period from **1st April, 2016 to 30th September, 2016** for the purpose of issuing a certificate as per regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on **30th September, 2016**.

- A. Share Certificate(s) relating to the transfer of Shares/Debentures received during the period from **1st April, 2016 to 30th September, 2016** as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B. Any other information: Nil

For Satish Batra & Associates,
Company Secretaries



[S.K.BATRA]
FCS 1316, CP 2875

Place: Raipur
Date: 18th October, 2016