

## NEW ERA ALKALOIDS & EXPORTS LIMITED

REGD. OFFICE- 21, FREE GANJ, RATLAM (M.P.) 457001  
CORP. OFF: 501, WALLFORT OZONE, FAFDIH CHOWK, RAIPUR (C. G.) 492001  
Telephone No.0771 234538  
CIN-L02423MP1994PLC008116

[www.newera ltd.com](http://www.newera ltd.com)

Email: [neael@rediffmail.com](mailto:neael@rediffmail.com)

### Compliance Report on Corporate Governance

1 Name of Listed Entity: New Era Alkaloids & Exports Limited  
2 Quarter ending: 30TH June, 2018

#### Composition of Board of Directors (SAME AS PREVIOUS QUARTER)

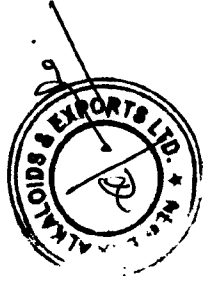
I. Title (Mr./Ms)	Name of the Director	PAN*	DIN	Category (Chairperson/Executive/Non-Executive/independent/ non-Nominee)*	Date of Appointment in the current term	Date of Ceasation	Tenure*	Directorship in listed entities including this listed entity (Refer Regulation 25 (1) of Listing regulations)	No of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26 (1) of Listing Regulations)	No. of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	RAVINDRA POKHARANA	ADHPP3565G	01121333	Executive	4/1/2015		N/A	2	2	1
Mr.	RAVI KAMRA	AEVPK0805N	00745058	Executive	30/9/2016		N/A	3	7	3
Mr.	GHANSHYAM SONI	DPYPS8491R	07570887	Independent/ non-Executive	30/9/2016		5 YEARS	3	0	0
Mr.	TULSI RAM SAHU	BHOPS0901G	01395347	Independent/ non-Executive	28/9/2015		5 YEARS	2	2	2
Mrs.	SATYAWATI PARASHAR	ALCPP4985E	00761009	Independent/ non-Executive	28/9/2015		5 YEARS	4	5	2


\*PAN number of any director would not be displayed on the website of Stock exchange

\*Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen  
\*\*to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.



II.	Composition of Committees Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)*		
1	Audit committee	Mr. Tulsiram Sahu Mr. Ravi Kamra Mrs. Satyawati Parashar	Independent Executive Independent		
2	Nomination & Remuneration Committee	Mr. Ghanshyam Soni Mr. Tulsiram Sahu Mrs. Satyawati Parashar	Independent Independent Independent		
3	Risk management Committee (if applicable)	N/A			
4	Stakeholders Relationship committee'	Mr. Ravi Kamra Mr. Ravindra Pokharana Mr. Tulsiram Sahu Mrs. Satyawati Parashar	Executive Executive Independent Independent		
*Category of directors means executive/non-executive/independent/nominee. If a director fits into more than one category write all categories separating them with hyphen					
III.	Meeting of Board of Directors	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the relevant quarter	Maximum gap between any two consecutive days	Maximum gap between any two consecutive days
		14/2/2018	30/5/2018	-	105
IV.	Meeting of Committees	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
		29/5/2018	YES	13/2/2018	105.00
* This information has to be mandatorily be given for audit committees, for rest of the committee giving this information is optional					
V.	Related Party Transactions	Subject	Compliance status (Yes/No/NA)	Refer note below	
	Whether prior approval of audit committee obtained		Yes		
	Whether shareholder approval obtained for material RPT		NA		
	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee		NA		



1	<b>Note</b> In the column "Compliance status", compliance or non-compliance may be indicated by Yes/No/NA. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the listed entity has no related party transactions, the words "N.A." may be indicated.
2	If status is "No" details of non-compliance may be given here.
<b>VI.</b>	<b>Affirmations</b>
1	The composition of Board of Directors in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 - <b>YES</b>
2	The composition of the following committees is in terms of SEBI (listing obligations and disclosure requirements) Regulations, 2015 - <b>YES</b>
	a) Audit Committee
	b) Nomination & remuneration committee
	c) Stakeholders relationship committee
	d) Risk management committee (applicable to the top 100 listed entities) - <b>NA</b>
3	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - <b>YES</b>
4	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015 - <b>YES</b>
5	This report and/or the report submitted in the previous quarter has been placed before Board of Directors. <b>YES</b> Any comments/observations/advice of Board of directors may be mentioned here: <b>NIL</b>
<b>Note:</b>	Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.
<b>Note:</b>	<b>Regulation 17 to 27 of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 is not applicable to the Company as the Company is neither having paid up share capital of or exceeding Rs.10.00 crore and a net worth of or exceeding Rs.25.00 crores</b> <b>Compliance is made at the discretion for good Corporate Governance.</b>
<b>Name &amp; Designation</b>	
	<b>CS MONIKA JAIN</b> <b>(COMPLAINEE OFFICER)</b>

