

NEW ERA ALKALOIDS & EXPORTS LIMITED

REGD. OFFICE- 21, FREE GANJ, RATLAM (M.P.) 457001

CIN-L02423MP1994PLC008116

NEAL1404/021

6th April, 2016

BY SPEED POST & E-MAIL

The Head – Listing & Compliances,
Calcutta Stock Exchange Limited,
7, Lyons Range,
Kolkata, (W. B.) - 700001

Dear Sir/Madam,

**SUBMISSION OF REPORT ON CORPORATE GOVERNANCE FOR THE QUARTER
ENDED ON 31ST MARCH, 2016**

We are submitting herewith the Report on Corporate Governance under Regulation 27 (2) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the quarter ended on 31st March, 2016.

Please upload the same on your official portal.

Yours faithfully,
For, New Era Alkaloids & Exports Limited,



(Noopur Trivedi)
Company Secretary



Encl: As stated above.

NEW ERA ALKALOIDS & EXPORTS LIMITED

REGD. OFFICE- 21, FREE GANU, RATLAM (M.P.) 457001

Telephone No.0771 234538

CIN-L02423MP1994PLC008116

Email: neael@rediffmail.com

Compliance Report on Corporate Governance

www.neweraltd.com

1 Name of Listed Entity: New Era Alkaloids & Exports Limited
2 Quarter ending: 31st March, 2016

I. Composition of Board of Directors									
Title (Mr./Ms)	Name of the Director	PAN*	DIN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)*	Date of Appointment in the current term/cessation	Tenure*	No of Directorship in listed entities including this listed entity (Refer Regulation 25 (1) of Listing regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26 (1) of Listing Regulations)	No. of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	RAVINDRA POKHARANA	ADHPP3565G	01121333	Executive	04-01-15	N/A	2	0	1
Mr.	RAVI KAMRA	AEVPK0805N	00745058	Executive	29/09/2014	N/A	3	5	2
Mr.	RISHI DAVE	ACSPD9981F	00574715	Independent/ non-Executive	28/09/2015	5	2	1	1
Mr.	TULSI RAM SAHU	BHOPSS0901G	01395347	Independent/ non-Executive	28/09/2015	5	1	1	0
Mrs.	SATYAWATI PARASHAR	ALCPP4985E	00761009	Independent/ non-Executive	28/09/2015	5	4	2	0

* PAN number of any director would not be displayed on the website of Stock exchange

* Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.



II. Composition of Committees		Name of Committee		Name of Committee members		Category (Chairperson/Executive/Non-Executive/Independent/Nominee)*	
1	Audit committee	Mr. Rishi Dave	Independent	Mr. Ravi Kamra	Executive		
2	Nomination & Remuneration Committee	Mrs. Satyawati Parashar	Independent	Mr. Ravindra Pokharana	Executive		
		Mr. Tulsi Ram Sahu	Independent	Mrs. Satyawati Parashar	Independent		
3	Risk management Committee (if applicable)		N/A				
4	Stakeholders Relationship committee	Mr. Ravi Kamra	Executive	Mr. Ravindra Pokharana	Executive		
		Mr. Tulsi Ram Sahu	Independent	Mrs. Satyawati Parashar	Independent		

*Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

III. Meeting of Board of Directors			
Date(s) of Meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)	
26-10-15	-	-	
14-11-15	-	19	
07-12-15	-	23	
18-12-15	-	11	
-	14-01-16	27	
-	13-02-16	30	

IV. Meeting of Committees			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
13-02-16	YES	14-11-15	91

* This information has to be mandatorily be given for audit committees, for rest of the committee giving this information is optional



V. Related Party Transactions		Subject	Compliance status (Yes/No/NA) <small>refer note below</small>
Whether prior approval of audit committee obtained		Yes	
Whether shareholder approval obtained for material RPT		NA	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee		NA	
1	Note 1 In the column "Compliance status", compliance or non-compliance may be indicated by Yes/No/NA. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the listed entity has no related party transactions, the words "N.A." may be indicated.		NA
2	if status is "No" details of non-compliance may be given here.		
VI. Affirmations			
1	The composition of Board of Directors in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015		
2	The composition of the following committees is in terms of SEBI (listing obligations and disclosure requirements) Regulations, 2015		
	a) Audit Committee		
	b) Nomination & remuneration committee		
	c) Stakeholders relationship committee		
	d) Risk management committee (applicable to the top 100 listed entities)		
3	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.		
4	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.		
5	This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of directors may be mentioned here: NIL		
Note: Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.			

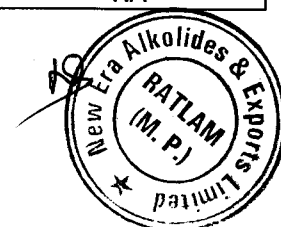
Note: Regulation 17 to 27 of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 is not applicable to the Company as the Company is neither having paid up share capital of or exceeding Rs.10.00 crore and a net worth of or exceeding Rs.25.00 crores. Compliance is made at the discretion for good Corporate Governance.



ANNEXURE II

ANNEXURE II - To be submitted by listed entity at the end of financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing regulations		
Item	Compliance status (Yes/No/NA) ^{refer note below}	
Details of business	Yes	
Terms and conditions of appointment of independent directors	NA	
Composition of various committees of board of directors	NA	
Code of conduct of board of directors and senior management personnel	NA	
Details of establishment of vigil mechanism/Whistle blower policy	NA	
Criteria of making payments to non-executive directors	NA	
Policy on dealing with related party transactions	NA	
Policy for determining 'material' subsidiaries	NA	
Details of familiarization programmes imparted to independent directors	NA	
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes	
email address for grievance redressal and other relevant details	Yes	
Financial results	Yes	
Shareholding pattern	Yes	
Details of agreements entered into with the media companies and/or their associates	Yes	
New name and the old name of the listed entity	NA	
II Annual Affirmations		
Particulars	Regulation Number	Compliance status (Yes/No/NA)refer note below
Independent director(s) have been appointed in terms of specified criteria of 'independence' and /or 'eligibility'	16(1)(b) & 25(6)	Yes
Board composition	17(1)	NA
Meeting of Board of directors	17(2)	NA
Review of Compliance Reports	17(3)	NA
Plans for orderly succession for appointments	17(4)	NA
Code of Conduct	17(5)	NA
Fees/compensation	17(6)	NA
Minimum Information	17(7)	NA
Compliance Certificate	17(8)	NA
Risk Assessment & Management	17(9)	NA
Performance Evaluation of Independent Directors	17(10)	NA
Composition of Audit Committee	18(1)	NA
Meeting of Audit Committee	18(2)	NA
Composition of nomination & remuneration committee	19(1) & (2)	NA
Composition of Stakeholder Relationship committee	20(1) & (2)	NA
Composition and role of risk management committee	21(1), (2), (3), (4)	NA
Vigil Mechanism	22	NA



Policy for related party Transaction	23(1), (5), (6), (7) &(8)	NA
Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	NA
Approval for material related party transactions	23(4)	NA
Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA
Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2), (3), (4), (5) & (6)	NA
Maximum Directorship & Tenure	25(1) & (2)	NA
Meeting of independent directors	25(3) & (4)	NA
Familiarization of independent directors	25(7)	NA
Memberships in Committes	26(1)	NA
Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	NA
Disclosure of Shareholding by Non-Executive Directors	26(4)	NA
Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	NA

Note

1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A..For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed entity has no related party transactions, the words "N.A." may be indicated.

2 If status is "No" details of non-compliance may be given here

3 If the Listed Entity would like to provide any other information the same may be indicated here-

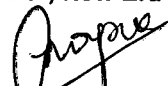
Regulation 17 to 27 of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 is not applicable to the Company as the Company is neither having paid up share capital of or exceeding Rs.10.00 crore and a net worth of or exceeding Rs.25.00 crores Compliance is made at the discretion for good Corporate Governance.

III Affirmations:

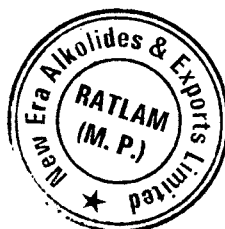
The Listed Entity has approved Material subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of listed Entity have been complied.

NA

For, New Era Alkaloids & Exports Limited,



(Noopur Trivedi)
Company Secretary and Compliance Officer



Date: 6th April, 2016