

NEW ERA ALKALOIDS & EXPORT LIMITED

REGD. OFFICE- 501, WALLFORT OZONE, FAFADIH, RAIPUR (C. G.) 492001
CIN- L24100CT1994PLC008842

21st October, 2019

1. Head- Listing & Compliance Metropolitan Stock Exchange of India Ltd. (MSEI) Vibgyor Towers, 4th floor, Plot No C 62, G - Block, Opp. Trident Hotel, Bandra Kurla Complex, Bandra (E), Mumbai - 400 098	2. The Head - Listing & Compliances, Calcutta Stock Exchange Limited, 7, Lyons Range, Kolkata, (W. B.) - 700001 BY SPEED POST
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Dear Sir/Madam,

SUBMISSION OF CERTIFICATE FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2019

We are submitting herewith the Certificate under regulation 40(9)&(10) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the half year ended on 30th September, 2019.

Kindly take the submission on records in terms of the above mentioned regulation and acknowledge the same.

Yours faithfully,
For, New Era Alkaloids & Exports Limited,


(Monika Jain)
Company Secretary & Compliance Officer



Encl: As stated above

SATISH BATRA & ASSOCIATES LLP
COMPANY SECRETARIES

2nd Floor, Ashoka Plaza,
Ashoka Ratna, Vidhan Sabha Road,
Po Shankar Nagar, Raipur (C. G.) 492007

TO WHOM IT MAY CONCERN

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION, 2015 FOR THE HALF YEAR ENDED ON 30th SEPTEMBER, 2019.

On the basis of the confirmation received from Beetal Financial & Computer Services (P) Ltd., Registrar & Share Transfer Agent of **New Era Alkaloids & Exports Ltd.** (CIN: L24100CT1994PLC008842) and information provided by the Company pertaining to Transfer of Equity shares for the period from **1st April, 2019 to 30th September, 2019** for the purpose of issuing a certificate as per regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 we hereby certify that:-

- A. Share Certificate relating to the transfer of Share received during the period from **1st April, 2019 to 30th September, 2019** as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B. Any other information: Nil



For **Satish Batra & Associates LLP**,
Company Secretaries

[Ayushi Agrawal]
Membership No. A- 54489,
C.P. No. 21862
UDIN NO.: A054489A000100282

Place: Raipur
Date: 16th October, 2019