

## Securities and Exchange Board of India

Annexure - I

### Shareholding of specified securities for the Quarter ended 31st March, 2019

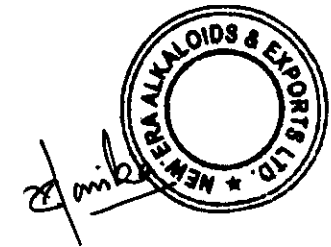
- 1 Name of Listed Entity: **NEW ERA ALKALOIDS & EXPORTS LIMITED**  
2 Scrip Code/Name of Scrip/Class of Security: **530303 / Equity Shares**  
3 Share Holding Pattern Filed under: **Reg.31(1)(b)**  
a. If under 31(1)(b) then indicate the report for Quarter ending: 31/03/2019  
b. If under 31(1)(c) then indicate date of allotment/extinguishment

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4 Whether the Listed Entity has any shares in locked - in?	-	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked - in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

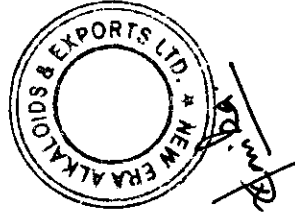
5 The tabular format for disclosure of holding of specified securities is as follows :-



NEW ERA ALKALOIDS & EXPORTS LIMITED  
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Table - I Summary Statement holding of specified securities

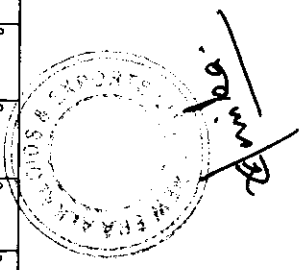
Category (I)	Category of Shareholder (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)			No of shares underlying convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VI+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)	
								Class X	Class Y	Total			Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
(A)	Promoter & Promoter Group	9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	0	0	0.0000	0	0.0000	97700
(B)	Public	3911	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	0	0	0.0000	0	0.0000	22000
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0
(C2)	Share Held By Employees Trusts	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0
	Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0
	Total A+B+C1+C2	3920	3284400	0	0	3284400	100.0000	3284400	0	3284400	100.0000	0	0	0	0.0000	0	0.0000	119700



NEW ERA ALKALOIDS & EXPORTS LIMITED  
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Table - II Statement showing shareholding pattern of the Promoter and Promer Group

S.No.	Category & Name of the Shareholder (i)	PAN (ii)	No of Shareholders (iii)	No. of fully paid Equity Share Held (iv)	No. Of Partly Paid Equity Shares Held (v)	No. Of underlying Depository Receipts (vi)	Total Nos. Shares Held (vii) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957 (VIII) as a % of (A+B+C2))	No of Voting Rights held in each class of securities (ix)			No of shares underlying convertible securities (including warrants) (x)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)	No of Equity Shares Held in Dematerialized form (XIV)
									Class X	Class Y	Total			No. (a)	As a % of total shares held (b)		
(1)	Indian																
(a)	Individual		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	0.0000	0	0.0000	97700
	Basantlal Pokharna	CRKPP6945N		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.0000	0	0.0000	10000
	Lalita Pokharna	ADAPR687K		15000	0	0	15000	0.4567	15000	0	15000	0.4567	0	0.0000	0	0.0000	15000
	Manisha Kamra	AGXP4574S		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.0000	0	0.0000	0
	Praajal Kamra	DDYK0288H		2500	0	0	2500	0.0761	2500	0	2500	0.0761	0	0.0000	0	0.0000	2500
	Ravi Kamra	AEVPO805N		30100	0	0	30100	0.9165	30100	0	30100	0.9165	0	0.0000	0	0.0000	30100
	Ravindra Pokharna	ADHPP3465G		20100	0	0	20100	0.6120	20100	0	20100	0.6120	0	0.0000	0	0.0000	20100
	Samir Kamra	AAFPK9062M		5000	0	0	5000	0.1522	5000	0	5000	0.1522	0	0.0000	0	0.0000	5000
	Shalini Pokharna	ADHPP5450D		20000	0	0	20000	0.6089	20000	0	20000	0.6089	0	0.0000	0	0.0000	20000
	Veena Kamra	AKLPK9005C		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.0000	0	0.0000	10000
(b)	Huf		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(c)	Central Govt./state Govt./ President Of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(d)	Financial Inst/banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any other		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	Other - Body Corporate		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	Sub - Total		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	0.0000	0	0.0000	97700
(2)	Foreign																
(a)	Individual(nri/ Foreign Individual )		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	Sub - Total		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	0.0000	0	0.0000	97700



NEW ERA ALKALOIDS & EXPORTS LIMITED  
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Table - III Statement showing shareholding pattern of the Public shareholding

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Party Paid Equity Shares Held (V)	No. of Shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)			No of shares underlying convertible securities (including warrants) (X) (XI)=VII+X as a % of (A+B+C2)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XII)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XIII)		No of Shares pledged or otherwise encumbered (XIII)	No of Equity Shares Held in Dematerialized form (XIV)
									Class X	Class Y	Total			As a % of total shares held (b)	No. (a)		
(1)	Institutions																
(a)	Mutual Funds																
(b)	Venture Capital Funds																
(c)	Alternate Investment Funds																
(d)	Foreign Venture Capital Investors																
(e)	Foreign Portfolio Investors																
(f)	Financial Inst/banks																
(g)	Insurance Companies																
(h)	Provident Funds/ Pension Fund																
(i)	Any other																
	Sub-total (B)(1)																
(2)	Central Govt./State Govt/ President Of India																
	Sub-total (B)(2)																
(3)	Non-institutions																
(a)	(i) Individual-Holding Norm. Val. upto Rs.2 lakhs		3665	1646300	0	0	1646300	50.1248	1646300	50.1248	0	0.0000	0	0.0000	0	0.0000	0
	(ii) Individual-Holding Norm. Val. greater than Rs.2 lakhs		28	918700	0	0	918700	27.9716	918700	27.9716	0	0.0000	0	0.0000	0	0.0000	20000
	Arvind Nath Agrawal			80300	0	0	80300	2.4449	80300	2.4449	0	0.0000	0	0.0000	0	0.0000	0
	Shaman Das Sachdev			80000	0	0	80000	2.4358	80000	2.4358	0	0.0000	0	0.0000	0	0.0000	0
	Subhash Chandra Chaturvedi			63200	0	0	63200	1.9242	63200	1.9242	0	0.0000	0	0.0000	0	0.0000	0
(b)	Nbfc. Registered With Rbi		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Employees Trust		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Overseas Depository Holding DRs		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Other - Body Corp		7	315700	0	0	315700	9.6121	315700	9.6121	0	0.0000	0	0.0000	0	0.0000	0
	Mahanadi Metals & Chemicals Pvt Ltd	AACCM4844R		278900	0	0	278900	8.4917	278900	8.4917	0	0.0000	0	0.0000	0	0.0000	0
	Other - N R I - REPATARIABLE		211	281000	0	0	281000	8.5556	281000	8.5556	0	0.0000	0	0.0000	0	0.0000	2000
	Sub-total (B)(3)		3911	3161700	0	0	3161700	96.2642	3161700	96.2642	0	0.0000	0	0.0000	0	0.0000	22000
	Total Public Shareholding		3911	3161700	0	0	3161700	96.2642	3161700	96.2642	0	0.0000	0	0.0000	0	0.0000	22000



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**NEW ERA ALKALOIDS & EXPORTS LIMITED**  
**SHAREHOLDING PATTERN AS ON 31/03/2019**

**Table - IV Statement showing shareholding pattern of the Non Promoter - Non Public shareholder**

S.No.	Category & Name of the Shareholder (i)	PAIN (ii)	No of Shareholders (iii)	No. of fully paid Equity Share Held (iv)	No. Of Partly Paid Equity Shares Held (v)	No. of shares underlying Depository Receipts (vi)	Total Nos. Shares Held (vii) = iv+v+vi	Shareholding % as of total no of Shares (viii) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (ix)			No of shares underlying convertible securities (including warrants) (x)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (xi)=vii+x as a % of (A+B+C2)	No. of Locked in Shares (xii)		No of Shares pledged or otherwise encumbered (xiii)		No of Equity Shares Held in Dematerialized form (xiv)
									Class X	Class Y	Total			Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)	
(1)	Custodian/DR Holder																	
(a)	names																	
(2)	Employees Benefit Trust (under SEBI Based employees benefit ) Regulation 2014																	
(a)	names																	
	Total Non-Promoter Non Public shareholding C = C1+C2																	



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