

# NEW ERA ALKALOIDS & EXPORT LIMITED

REGD. OFFICE- 501, WALLFORT OZONE, FAFADIH, RAIPUR (C. G.) 492001  
CIN- L24100CT1994PLC008842

21<sup>st</sup> July, 2021

1. Head- Listing & Compliance Metropolitan Stock Exchange of India Ltd. (MSEI) Vibgyor Towers, 4th floor, Plot No C 62, G - Block, Opp. Trident Hotel, Bandra Kurla Complex, Bandra (E), Mumbai - 400 098	2. The Head - Listing & Compliances, Calcutta Stock Exchange Limited, 7, Lyons Range, Kolkata, (W. B.) - 700001  .....BY SPEED POST
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Dear Sir/Madam,

## **SUBMISSION OF SHAREHOLDING PATTERN OF SPECIFIED SECURITIES UNDER REGULATION 31(1) (B) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

We are submitting herewith Shareholding Pattern of specified securities under Regulation 31(1) (b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended on 30.06.2021.

Kindly take the submission on records in compliance of the above mentioned regulations and acknowledge the same.

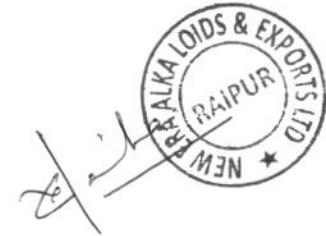
Yours faithfully,  
For, New Era Alkaloids & Exports Limited,

  
(Monika Jain)  
Company Secretary & Compliance Officer



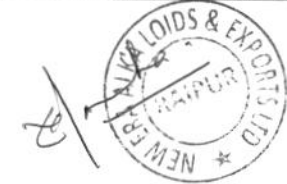
Enclosure: As stated above

SHAREHOLDING PATTERN			
1	Name of Listed Entity:		NEW ERA ALKALOIDS & EXPORTS LIMITED
2	Scrip Code/Name of Scrip/Class of Security		Equity
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c )		Reg.31(1)(b)
	a.	If under 31(1)(b) then indicate the report for Quarter ending	30th June, 2021
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment	
4	Declaration: The Listed entity is required to submit the following declaration to the ex		
	<b>Particulars</b>	<b>Yes*</b>	<b>No*</b>
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No



**NEW ERA ALKALOIDS & EXPORTS LIMITED**  
**SHAREHOLDING PATTERN AS ON 30th June, 2021**  
**Table - I Summary Statement holding of specified securities**


Category (I)	Category of Shareholder (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No . Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares undelying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
								Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter Group	9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	97700
(B)	Public	185	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	410200
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C2)	Share Held By Employees Trusts	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	<b>Total A+B+C1+C2</b>	<b>194</b>	<b>3284400</b>	<b>0</b>	<b>0</b>	<b>3284400</b>	<b>100.0000</b>	<b>3284400</b>	<b>0</b>	<b>3284400</b>	<b>100.0000</b>	<b>0</b>	<b>100.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>507900</b>



NEW ERA ALKALOIDS & EXPORTS LIMITED  
SHAREHOLDING PATTERN AS ON 30th June, 2021

Table - II Statement showing shareholding pattern of the Promoter and Promer Group

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)	
									Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
(1)	Indian																			
(a)	Individual		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	97700	
	Basantlal Pokharna	CPKPP6945N		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	0	0.0000	10000	
	Lalita Pokharna	ADAPP8687K		15000	0	0	15000	0.4567	15000	0	15000	0.4567	0	0.4567	0	0.0000	0	0.0000	15000	
	Manisha Kamra	AGXPC4574S		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	0	0.0000	0	
	Pranjal Kamra	DOYPK0288H		2500	0	0	2500	0.0761	2500	0	2500	0.0761	0	0.0761	0	0.0000	0	0.0000	2500	
	Ravi Kamra	AEVPK0805N		30100	0	0	30100	0.9165	30100	0	30100	0.9165	0	0.9165	0	0.0000	0	0.0000	30100	
	Ravindra Pokharna	ADHPP3465G		20100	0	0	20100	0.6120	20100	0	20100	0.6120	0	0.6120	0	0.0000	0	0.0000	20100	
	Samir Kamra	AAFPK9062M		5000	0	0	5000	0.1522	5000	0	5000	0.1522	0	0.1522	0	0.0000	0	0.0000	0	
	Shalini Pokharna	ADHPP5450D		20000	0	0	20000	0.6089	20000	0	20000	0.6089	0	0.6089	0	0.0000	0	0.0000	20000	
	Veena Kamra	AKLPK9005C		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	0	0.0000	0	
(b)	Huf		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Central Govt /state Govt/ President Of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Financial Inst/banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any other																			
	Other - Body Corporate		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Sub - Total		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	97700	
(2)	Foreign																			
(a)	Individual(nri/ Foreign Individual )		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other																			
	Sub - Total		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Shareholding of Promoter and Promotor Group		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0	0	0	97700	

*[Handwritten Signature]*  


NEW ERA ALKALOID & EXPORTS LIMITED  
SHAREHOLDING PATTERN AS ON 30th June, 2021  
Table - III Statement showing shareholding pattern of the Public shareholding

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares underlying convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked In Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)	
									Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
(1)	Institutions																			
(a)	Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(f)	Financial inst/banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(g)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(h)	Provident Funds/ Pension Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(i)	Any other																			
	Sub-total (B)(1)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(2)	Central Govt /state Govt/ President Of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
	Sub-total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(3)	Non-institutions																			
(a)	(i) Individual-Holding Nom. Val. upto Rs.2 lakhs		93	108600	0	0	108600	3.3065	108600	0	108600	3.3065	0	3.3065	0	0.0000	0	0.0000	0	27200
	(ii) Individual-Holding Nom. Val. greater than Rs.2 lakhs		84	2757400	0	0	2757400	83.9545	2757400	0	2757400	83.9545	0	83.9545	0	0.0000	0	0.0000	0	101400
	Aditya Vikram Guglani	BNUPG7190R		38600	0	0	38600	1.1753	38600	0	38600	1.1753	0	1.1753	0	0.0000	0	0.0000	0	0
	Ajay Verma	AHPV9486R		57800	0	0	57800	1.7598	57800	0	57800	1.7598	0	1.7598	0	0.0000	0	0.0000	0	0
	Akshay Guglani	ASEPG9197J		38200	0	0	38200	1.1631	38200	0	38200	1.1631	0	1.1631	0	0.0000	0	0.0000	0	0
	Alka Kapoor	ASVPK5173D		39000	0	0	39000	1.1874	39000	0	39000	1.1874	0	1.1874	0	0.0000	0	0.0000	0	0
	Amrita Karnavat	EGTPK9924E		58500	0	0	58500	1.7811	58500	0	58500	1.7811	0	1.7811	0	0.0000	0	0.0000	0	0
	Anju Rani	CPOPR2716F		38700	0	0	38700	1.1783	38700	0	38700	1.1783	0	1.1783	0	0.0000	0	0.0000	0	0
	Ashish Kumar	EKRPK946C		58500	0	0	58500	1.7811	58500	0	58500	1.7811	0	1.7811	0	0.0000	0	0.0000	0	0
	Avinash Karnavat	AWNPK7105R		37800	0	0	37800	1.1509	37800	0	37800	1.1509	0	1.1509	0	0.0000	0	0.0000	0	0
	Babloo Kumar	ASNPK3016D		39000	0	0	39000	1.1874	39000	0	39000	1.1874	0	1.1874	0	0.0000	0	0.0000	0	0
	Baldev Raj Jolly	ACBP19511C		38400	0	0	38400	1.1692	38400	0	38400	1.1692	0	1.1692	0	0.0000	0	0.0000	0	0
	Bhudal Sharma	ARLP55448Q		59200	0	0	59200	1.8025	59200	0	59200	1.8025	0	1.8025	0	0.0000	0	0.0000	0	0
	Chaitanya Guglani	BRVPG6196E		38400	0	0	38400	1.1692	38400	0	38400	1.1692	0	1.1692	0	0.0000	0	0.0000	0	0
	Chanchal Sharma	ABJPS9218E		58200	0	0	58200	1.772	58200	0	58200	1.772	0	1.772	0	0.0000	0	0.0000	0	0
	Dhani Ram Sharma Huf	AAHHD1746F		34900	0	0	34900	1.0626	34900	0	34900	1.0626	0	1.0626	0	0.0000	0	0.0000	0	0
	Dhani Ram Sharma	BACP55581F		59000	0	0	59000	1.7964	59000	0	59000	1.7964	0	1.7964	0	0.0000	0	0.0000	0	0
	Gian Chand Sharma	AUSPS58573N		37300	0	0	37300	1.1357	37300	0	37300	1.1357	0	1.1357	0	0.0000	0	0.0000	0	0
	Kritika Guglani	BITPG9275K		39100	0	0	39100	1.1905	39100	0	39100	1.1905	0	1.1905	0	0.0000	0	0.0000	0	0
	Kuldeep Kumar Guglani	AAFPG4241C		39000	0	0	39000	1.1874	39000	0	39000	1.1874	0	1.1874	0	0.0000	0	0.0000	0	0
	Manoj Kumar Kansal	AATPK9557G		57300	0	0	57300	1.7446	57300	0	57300	1.7446	0	1.7446	0	0.0000	0	0.0000	0	0
	Monika Guglani	AAHPK5841Q		38800	0	0	38800	1.1813	38800	0	38800	1.1813	0	1.1813	0	0.0000	0	0.0000	0	0
	Neelam Kumari	AQZPK4478M		34100	0	0	34100	1.0382	34100	0	34100	1.0382	0	1.0382	0	0.0000	0	0.0000	0	0
	Rajesh Kumar Guglani	AAJPG4602P		39000	0	0	39000	1.1874	39000	0	39000	1.1874	0	1.1874	0	0.0000	0	0.0000	0	0
	Seema Guglani	AAHPK5840R		39000	0	0	39000	1.1874	39000	0	39000	1.1874	0	1.1874	0	0.0000	0	0.0000	0	0
	Shalish Kumar	EJGPK1719D		58400	0	0	58400	1.7781	58400	0	58400	1.7781	0	1.7781	0	0.0000	0	0.0000	0	0
	Sonia Guglani	AETPG6047G		38400	0	0	38400	1.1692	38400	0	38400	1.1692	0	1.1692	0	0.0000	0	0.0000	0	0
	Suneeta	IPBPS5190P		38900	0	0	38900	1.1844	38900	0	38900	1.1844	0	1.1844	0	0.0000	0	0.0000	0	0
	Sunil Kumar Popli	AOKPP7505F		36300	0	0	36300	1.1052	36300	0	36300	1.1052	0	1.1052	0	0.0000	0	0.0000	0	0
	Sunita Jolly	AFJPA031L		37800	0	0	37800	1.1509	37800	0	37800	1.1509	0	1.1509	0	0.0000	0	0.0000	0	0
	Tara Chand Sharma	BHPP54060B		38900	0	0	38900	1.1844	38900	0	38900	1.1844	0	1.1844	0	0.0000	0	0.0000	0	0
	Vikas	AFTPV0737K		38800	0	0	38800	1.1813	38800	0	38800	1.1813	0	1.1813	0	0.0000	0	0.0000	0	0
	Vinod Kumar Gurjar	APNPG2667P		52500	0	0	52500	1.5985	52500	0	52500	1.5985	0	1.5985	0	0.0000	0	0.0000	0	0
(b)	Nbfc Registered With Rbi		0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0
(c)	Employees Trust		0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0
(d)	Overseas Depository Holding DRs		0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0
(e)	Any Other																			
	Other - Body Corp		3	291200	0	0	291200	8.8662	291200	0	291200	8.8662	0	8.8662	0	0.0000	0	0.0000	0	279600
	Mahanadi Metals And Chemicals	AACCM4844R		278900	0	0	278900	8.4917	278900	0	278900	8.4917	0	8.4917	0	0.0000	0	0.0000	0	278900
	Other - N R I - REPATRIABLE		5	4500	0	0	4500	0.137	4500	0	4500	0.137	0	0.137	0	0.0000	0	0.0000	0	2000
	Sub-total (B)(3)		185	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	0	410200
	Total Public Shareholding		185	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	0	410200

*[Handwritten Signature]*  
